

# On the Borel reducibility Main Gap

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Helsinki Logic Seminar  
Helsinki

17 and 24 January, and 20 March, 2024



European Research Council  
Established by the European Commission



# Geometry

- ▶ Independence of Euclid's fifth postulate, the parallel postulate.
  
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- ▶ Euclidean geometry, Elliptic geometry, Hyperbolic geometry.

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**What is the behavior of  $I(T, \alpha)$ ?**

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- ▶ **1954:** Łoś and Vaught introduced  $\kappa$ -categorical theories.
- ▶ **1965:** Morley's categoricity theorem.

# Morley's conjecture

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**1960's:** Let  $T$  be a first-order countable theory over a countable language. For all  $\aleph_0 < \lambda < \kappa$ ,

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**1990:** Shelah proved Morley's conjecture.

# Shelah's Main Gap Theorem

## Theorem (Shelah 1990)

*Either, for every uncountable cardinal  $\alpha$ ,  $I(T, \alpha) = 2^\alpha$ ; or  $\forall \alpha > 0$ ,  $I(T, \aleph_\alpha) < \beth_{\omega_1}(|\alpha|)$ .*



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If  $T$  is classifiable and  $T'$  is not, then  $T$  is less complex than  $T'$  and their complexity are not close.

# Descriptive Set Theory

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- ▶ **1993:** Mekler-Väänänen  $\kappa$ -separation theorem.
  
- ▶ **2014:** Friedman-Hyttinen-Kulikov developed GDST and a systematic comparison between the Main Gap dividing lines and the complexity given by Borel reducibility.

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We equip the set  $\kappa^\kappa$  with the bounded topology. For every  $\zeta \in \kappa^{<\kappa}$ , the set

$$[\zeta] = \{\eta \in \kappa^\kappa \mid \zeta \subset \eta\}$$

is a basic open set.

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The generalised Cantor space is the subspace  $2^\kappa$ .



# Coding structures

Let  $\omega \leq \mu \leq \kappa$  be a cardinal. Fix a relational language  $\mathcal{L} = \{P_n \mid n < \omega\}$  and a bijection  $\pi_\mu$  between  $\mu^{<\omega}$  and  $\mu$ .

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### Definition

For every  $\eta \in \kappa^\kappa$  define the structure  $\mathcal{A}_{\eta \upharpoonright \mu}$  with domain  $\mu$  as follows: For every tuple  $(a_1, a_2, \dots, a_n)$  in  $\mu^n$

$$(a_1, a_2, \dots, a_n) \in P_m^{\mathcal{A}_{\eta \upharpoonright \mu}} \Leftrightarrow \eta(\pi_\mu(m, a_1, a_2, \dots, a_n)) > 0.$$

# The isomorphism relation

## Definition

Let  $\omega \leq \mu \leq \kappa$  be a cardinal and  $T$  a first-order theory in a relational countable language, we say that  $f, g \in \kappa^\kappa$  are  $\cong_T^\mu$  equivalent if one of the following holds:

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- ▶  $\mathcal{A}_{\eta \upharpoonright \mu} \models T, \mathcal{A}_{\xi \upharpoonright \mu} \models T, \mathcal{A}_{\eta \upharpoonright \mu} \cong \mathcal{A}_{\xi \upharpoonright \mu}$

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- ▶  $\mathcal{A}_{\eta \upharpoonright \mu} \not\models T, \mathcal{A}_{\xi \upharpoonright \mu} \not\models T$

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We can define a partial order on the set of all first-order complete countable theories

$$T \leq^\kappa T' \text{ iff } \cong_T \hookrightarrow_C \cong_{T'}$$

## Non-classifiable theories

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- ▶  $T$  is superstable with DOP;



# Classifiable theories

Classifiable are divided into:

- ▶ shallow,

$$I(T, \aleph_\alpha) < \beth_{\omega_1}(|\alpha|);$$



# First dividing lines

## Fact (Friedman-Hyttinen-Kulikov 2014)

1. Let  $\kappa^{<\kappa} = \kappa > 2^\omega$ . If  $T$  is classifiable and shallow, then  $\cong_T$  is  $\kappa$ -Borel.



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3. If  $T$  is unstable or stable with the OTOP or superstable with the DOP and  $\kappa > \omega_1$ , then  $\cong_T$  is not  $\Delta_1^1(\kappa)$ .

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4. If  $T$  is stable unsuperstable, then  $\cong_T$  is not  $\kappa$ -Borel.

# Question

**Question:** What can we say about the Borel-reducibility between different dividing lines?

# Classifiable and shallow

Theorem (Mangraviti - Motto Ros 2020)

Let  $\kappa$  be such that  $\kappa > 2^\omega$ . If  $T$  is classifiable and shallow with depth  $\alpha$ , then  $rk_B(\cong_T) \leq 4\alpha$ .

## Classifiable and shallow

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### Theorem (Mangraviti - Motto Ros 2020)

Let  $\kappa = \aleph_\gamma$  be such that  $\kappa^{<\kappa} = \kappa$  and  $\beth_{\omega_1}(|\gamma|) \leq \kappa$ . Let  $T, T'$  be countable complete first-order theories, and suppose  $T$  is classifiable and shallow, while  $T'$  is not. Then

$$\cong_T \hookrightarrow_B \cong_{T'}$$

# General reduction

## Fact (Mangraviti-Motto Ros)

*Let  $E_1$  be a Borel equivalence relation with  $\gamma \leq \kappa$  equivalence classes and  $E_2$  be an equivalence relation with  $\theta$  equivalence classes. If  $\gamma \leq \theta$ , then  $E_1 \hookrightarrow_B E_2$ .*

# Counting $\alpha$ -classes relation

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  - ▶  $\eta(\alpha) = \xi(\alpha) < \varrho - 1$ ;
  - ▶  $\eta(\alpha), \xi(\alpha) \geq \varrho - 1$ .

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- ▶  $\varrho$  is infinite:
  - ▶  $\eta(\alpha) = \xi(\alpha) < \varrho$ ;
  - ▶  $\eta(\alpha), \xi(\alpha) \geq \varrho$ .

## Few equivalence classes

Lemma (M. 2023)

*Suppose  $\kappa > 2^\omega$  and  $T$  is a countable first-order theory in a countable vocabulary (not necessarily complete) such that  $\cong_T$  has  $\rho \leq \kappa$  equivalence classes. Then for all  $\alpha < \kappa$*

$$\cong_T \hookrightarrow_B \alpha_\rho \text{ and } \alpha_\rho \hookrightarrow_L \cong_T .$$

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$$\cong_T \hookrightarrow_B \alpha_\rho \text{ and } \alpha_\rho \hookrightarrow_L \cong_T .$$

*Even more, if  $T$  is not categorical then  $\cong_T \not\hookrightarrow_C \alpha_\rho$ .*

# Proof

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- ▶  $\alpha_\rho$  is open, so  $\cong_T \hookrightarrow_C \alpha_\rho$  implies  $\cong_T$  is open.

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- ▶  $\eta \upharpoonright \alpha + 1$  determines the equivalence class of  $\eta$ . So  $\alpha_\rho \hookrightarrow_L \cong_T$ .
- ▶  $\alpha_\rho$  is open, so  $\cong_T \hookrightarrow_C \alpha_\rho$  implies  $\cong_T$  is open.
- ▶  $\cong_T$  is open iff  $T$  is categorical (Mangraviti-Motto Ros), so if  $T$  is not categorical then  $\cong_T \not\hookrightarrow_C \alpha_\rho$ .



# Gap: Shallow and Non-shallow

Theorem (M. 2023)

Suppose  $\aleph_\mu = \kappa = \lambda^+ = 2^\lambda$  is such that  $\beth_{\omega_1}(|\mu|) \leq \kappa$ .

## Gap: Shallow and Non-shallow

### Theorem (M. 2023)

*Suppose  $\aleph_\mu = \kappa = \lambda^+ = 2^\lambda$  is such that  $\beth_{\omega_1}(|\mu|) \leq \kappa$ . Let  $T_1$  be a countable complete classifiable shallow theory with  $\varrho = I(\kappa, T_1)$ ,  $T_2$  be a countable complete theory not classifiable shallow.*

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$$\cong_T \hookrightarrow_B 0_\varrho \hookrightarrow_L \cong_{T_1} \hookrightarrow_B 0_\kappa \hookrightarrow_L \cong_{T_2} .$$

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$$\cong_T \hookrightarrow_B 0_\varrho \hookrightarrow_L \cong_{T_1} \hookrightarrow_B 0_\kappa \hookrightarrow_L \cong_{T_2} .$$

In particular

$$\cong_{T_2} \not\rightarrow_r 0_\kappa \not\rightarrow_r \cong_{T_1} \not\rightarrow_C 0_\varrho \not\rightarrow_r \cong_T .$$

# Consistency

Theorem (Hyttinen - Kulikov - M. 2017)

*Suppose  $\kappa = \lambda^+$ ,  $2^\lambda > 2^\omega$ , and  $\lambda^{<\lambda} = \lambda$ . There is a  $\kappa$ -closed  $\kappa^+$ -cc forcing which forces:*

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# Unsuperstable theories

Theorem (Hyttinen - Kulikov - M. 2017)

*Suppose  $\kappa = \lambda^+$ ,  $2^\lambda > 2^\omega$ , and  $\lambda^\omega = \lambda$ . If  $T$  is classifiable and  $T'$  is stable unsuperstable, then  $T \leq^\kappa T'$  and  $T' \not\leq^\kappa T$ .*

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## Theorem (M. 2023)

*Suppose  $\kappa = \lambda^+ = 2^\lambda$  and  $\lambda^\omega = \lambda$ . If  $T$  is a classifiable theory, and  $T'$  is an unsuperstable theory, then  $T \leq^\kappa T'$  and  $T' \not\leq^\kappa T$ .*



# Equivalence modulo $\gamma$ cofinality

## Definition

We define the equivalence relation  $=_{\gamma}^2 \subseteq 2^{\kappa} \times 2^{\kappa}$ , as follows: let  $S = \{\alpha < \kappa \mid cf(\alpha) = \gamma\}$ ,

$$\eta =_{\gamma}^2 \xi \iff \{\alpha < \kappa \mid \eta(\alpha) \neq \xi(\alpha)\} \cap S \text{ is non-stationary.}$$

# Borel-reducibility Main Gap

Theorem (M. 2023)

Let  $\mathfrak{c} = 2^\omega$ . Suppose  $\kappa = \lambda^+ = 2^\lambda$  and  $2^{\mathfrak{c}} \leq \lambda = \lambda^{\omega_1}$ .

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Let  $\mathfrak{c} = 2^\omega$ . Suppose  $\kappa = \lambda^+ = 2^\lambda$  and  $2^{\mathfrak{c}} \leq \lambda = \lambda^{\omega_1}$ . If  $T$  is a classifiable theory, and  $T'$  is a non-classifiable theory, then there is  $\gamma < \kappa$  such that

$$\cong_T \hookrightarrow_C =_{\gamma}^2 \hookrightarrow_C \cong_{T'} \quad \text{and} \quad =_{\gamma}^2 \not\hookrightarrow_B \cong_T .$$

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$$\cong_T \hookrightarrow_C \cong_\gamma \hookrightarrow_C \cong_{T'} \quad \text{and} \quad \cong_\gamma \not\hookrightarrow_B \cong_T .$$

In particular

$$T \leq^\kappa T' \quad \text{and} \quad T' \not\leq^\kappa T .$$

# Classifiable theories

Theorem (Hyttinen - Kulikov - M. 2017)

Assume  $T$  is a classifiable theory and let

$S = \{\alpha < \kappa \mid cf(\alpha) = \gamma\}$ . If  $\diamond_S$  holds, then  $\cong_T \hookrightarrow_C \stackrel{2}{=} \gamma$ .

# The reductions

## Theorem (M. 2023)

Let  $\kappa$  be inaccessible or  $\kappa = \lambda^+ = 2^\lambda$ . Suppose  $T$  is a non-classifiable theory.

1. If  $T$  is stable unsuperstable, then let  $\theta = \gamma = \omega$ .

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1. If  $T$  is stable unsuperstable, then let  $\theta = \gamma = \omega$ .
2. If  $T$  is unstable, or superstable with  $OTOP$ , then let  $\theta = \omega$  and  $\omega \leq \gamma < \kappa$ .

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3. If  $T$  is superstable with *DOP*, then let  $\theta = 2^\omega = \mathfrak{c}$  and  $\omega_1 \leq \gamma < \kappa$ .



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2. If  $T$  is unstable, or superstable with OTOP, then let  $\theta = \omega$  and  $\omega \leq \gamma < \kappa$ .
3. If  $T$  is superstable with DOP, then let  $\theta = 2^\omega = \mathfrak{c}$  and  $\omega_1 \leq \gamma < \kappa$ .

If  $\theta$ ,  $\gamma$ , and  $\kappa$  satisfy that  $\forall \alpha < \kappa$ ,  $\alpha^\gamma < \kappa$ , and  $(2^\theta)^+ \leq \kappa$ , then

$$\equiv_{\gamma}^2 \hookrightarrow_{\mathcal{C}} \cong_T .$$

## Blue print of the proof

- ▶ Construct an  $\varepsilon$ -dense,  $(\kappa, \varepsilon)$ -nice,  $(< \kappa)$ -stable, and  $\kappa$ -colorable linear order.

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- ▶ Construct ordered trees from the linear order.
- ▶ Construct skeletons from ordered trees, to construct Ehrenfeucht-Mostowski models.
- ▶ Prove the isomorphism theorem.
- ▶ Construct the reductions.

# $\varepsilon$ -dense

## Definition

Let  $I$  be a linear order of size  $\kappa$  and  $\varepsilon$  a regular cardinal smaller than  $\kappa$ . We say that  $I$  is  $\varepsilon$ -dense if the following holds.

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If  $A, B \subseteq I$  are subsets of size less than  $\varepsilon$  such that for all  $a \in A$  and  $b \in B$ ,  $a < b$ , then there is  $c \in I$ , such that for all  $a \in A$  and  $b \in B$ ,  $a < c < b$ .



# $\kappa$ -representation

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Let  $A$  be an arbitrary set of size  $\kappa$ . The sequence  $\mathbb{A} = \langle A_\alpha \mid \alpha < \kappa \rangle$  is a  $\kappa$ -representation of  $A$ , if  $\langle A_\alpha \mid \alpha < \kappa \rangle$  is an increasing continuous sequence of subsets of  $A$ , for all  $\alpha < \kappa$ ,  $|A_\alpha| < \kappa$ , and  $\bigcup_{\alpha < \kappa} A_\alpha = A$ .

# $(\kappa, \varepsilon)$ -nice

## Definition

Let  $\varepsilon < \kappa$  be a regular cardinal,  $A$  be a linear order of size  $\kappa$  and  $\langle A_\alpha \mid \alpha < \kappa \rangle$  a  $\kappa$ -representation.

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## Definition

Let  $\varepsilon < \kappa$  be a regular cardinal,  $A$  be a linear order of size  $\kappa$  and  $\langle A_\alpha \mid \alpha < \kappa \rangle$  a  $\kappa$ -representation. Then  $A$  is  $(\kappa, \varepsilon)$ -nice if there is a club  $C \subseteq \kappa$ , such that for all limit  $\delta \in C$  with  $cf(\delta) \geq \varepsilon$ , for all  $x \in A$  there is  $\beta < \delta$  such that one of the following holds:

- ▶  $\forall \sigma \in A_\delta [\sigma \geq x \Rightarrow \exists \sigma' \in A_\beta (\sigma \geq \sigma' \geq x)]$
- ▶  $\forall \sigma \in A_\delta [\sigma \leq x \Rightarrow \exists \sigma' \in A_\beta (\sigma \leq \sigma' \leq x)]$

## $(< \kappa)$ -stable

### Definition

A linear order  $I$  is  $(< \kappa)$ -stable if for every  $B \subseteq I$  of size smaller than  $\kappa$ ,

$$\kappa > |\{tp_{bs}(a, B, I) \mid a \in I\}|.$$

# $\kappa$ -colorable

## Definition

Let  $I$  be a linear order of size  $\kappa$ . We say that  $I$  is  $\kappa$ -colorable if there is a function  $F : I \rightarrow \kappa$  such that for all  $B \subseteq I$ ,  $|B| < \kappa$ ,  $b \in I \setminus B$ , and  $p = tp_{bs}(b, B, I)$  such that the following hold: For all  $\alpha \in \kappa$ ,

$$|\{a \in I \mid a \models p \ \& \ F(a) = \alpha\}| = \kappa.$$

# Hyttinen - Tuuri's order

## Definition (Hyttinen - Tuuri 1991)

Let  $\mathcal{R}$  be the set of functions  $f : \omega \rightarrow \kappa$ , for which  $|\{n \in \omega \mid f(n) \neq 0\}|$  is finite.

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If  $f, g \in \mathcal{R}$ , then  $f < g$  if and only if  $f(n) < g(n)$ , where  $n$  is the least number such that  $f(n) \neq g(n)$ .



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## Fact (Hyttinen-Tuuri 1991)

*The linear order  $\mathcal{R}$  is  $(\kappa, \omega)$ -nice and  $(< \kappa)$ -stable.*

# The $F_\omega^\varphi$ isolation

## Definition

Let  $\varphi(x, y) := "y > x"$ , we define  $F_\omega^\varphi$  in the following way. Let  $|B| < \kappa$  and  $p \in S_{bs}(B)$ ,  $(p, A) \in F_\omega^\varphi$  if and only if  $A \subseteq B$ ,  $A$  is finite, and there is  $a \in A$  such that

$$\{a > x, x = a\} \cap p \neq \emptyset \ \& \ a \models p \upharpoonright B \setminus \{a\}.$$

# $F_\omega^\varphi$ -construction

## Definition

A sequence  $(A, (a_i, B_i)_{i < \alpha})$  is an  $F_\omega^\varphi$ -construction over  $A$  if for all  $i < \alpha$ ,  $(tp_{bs}(a_i, A_i), B_i) \in F_\omega^\varphi$  where  $A_i = A \cup \bigcup_{j < i} a_j$ .

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$C$  is  $F_\omega^\varphi$ -constructible over  $A$  if there is an  $F_\omega^\varphi$ -construction over  $A$  such that  $C = A \cup \bigcup_{j < \alpha} a_j$ .

# $(F_\omega^\varphi, \kappa)$ -primary

## Definition

$C$  is  $(F_\omega^\varphi, \kappa)$ -saturated if for all  $B \subseteq C$  of size smaller than  $\kappa$ , and  $p \in S_{bs}(B)$ ,  $(p, A) \in F_\omega^\varphi$  implies that  $p$  is realized in  $C$ .

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$C$  is  $(F_\omega^\varphi, \kappa)$ -primary over  $A$  if it is  $F_\omega^\varphi$ -constructible over  $A$  and  $(F_\omega^\varphi, \kappa)$ -saturated.

# $(F_\omega^\varphi, \kappa)$ -primary

Lemma (M. 2023)

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# $(F_\omega^\varphi, \kappa)$ -primary

## Lemma (M. 2023)

*There is an  $(F_\omega^\varphi, \kappa)$ -primary over  $\mathcal{R}$  and it is an  $\omega$ -dense,  $(\kappa, \omega)$ -nice,  $(< \kappa)$ -stable, and  $\kappa$ -colorable linear order.*



# Existence

Let  $\theta < \kappa$  be the smallest cardinal such that there is a  $\varepsilon$ -dense model of  $DLO$  of size  $\theta$ .

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## Theorem (M. 2023)

*Suppose  $\kappa$  is inaccessible, or  $\kappa = \lambda^+$ ,  $2^\theta \leq \lambda = \lambda^{<\varepsilon}$ . There is a  $\varepsilon$ -dense,  $(\kappa, \varepsilon)$ -nice,  $(< \kappa)$ -stable, and  $\kappa$ -colorable linear order.*

# Construction

Let  $\mathcal{Q}$  be a model of  $DLO$  of size  $\theta < \kappa$ , that is  $\varepsilon$ -dense.

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Let  $\kappa \times \mathcal{Q}$  be ordered by the lexicographic order,

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Let  $\kappa \times \mathcal{Q}$  be ordered by the lexicographic order,  $\mathcal{I}^0$  be the set of functions  $f : \varepsilon \rightarrow \kappa \times \mathcal{Q}$  such that  $f(\alpha) = (f_1(\alpha), f_2(\alpha))$ , for which  $|\{\alpha \in \varepsilon \mid f_1(\alpha) \neq 0\}|$  is smaller than  $\varepsilon$ .

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If  $f, g \in \mathcal{I}^0$ , then  $f < g$  if and only if  $f(\alpha) < g(\alpha)$ , where  $\alpha$  is the least number such that  $f(\alpha) \neq g(\alpha)$ .

# Construction

Let us fix  $\tau \in \mathcal{Q}$ . Let  $I$  be the set of functions  $f : \varepsilon \rightarrow (\{0\} \times \mathcal{I}^0) \cup (\kappa \times \mathcal{Q})$  such that the following hold:

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- ▶ There is  $\alpha < \varepsilon$  ordinal such that  $\forall \beta > \alpha, f(\beta) = (0, \tau)$ . We say that the least  $\alpha$  with such property is the *depth* of  $f$  and we denote it by  $dp(f)$ ;

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- ▶ There is  $\alpha < \varepsilon$  ordinal such that  $\forall \beta > \alpha, f(\beta) = (0, \tau)$ . We say that the least  $\alpha$  with such property is the *depth* of  $f$  and we denote it by  $dp(f)$ ;
- ▶ There are functions  $f_1 : \varepsilon \rightarrow \kappa$  and  $f_2 : \varepsilon \rightarrow \mathcal{I}^0 \cup Q$  such that  $f(\beta) = (f_1(\beta), f_2(\beta))$  and  $f_1 \upharpoonright dp(f) + 1$  is strictly increasing.

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- ▶ exists  $\alpha > 0$  such that  $\forall \beta < \alpha$ ,  $f(\beta) = g(\beta)$ , and  $f_1(\alpha), g_1(\alpha) \neq 0$  and  $g(\alpha) > f(\alpha)$ .

# Generators

## Definition

For all  $f \in I$  with depth  $\alpha$ , define the *generator* of  $f$ ,  $Gen(f)$ , by

$$Gen(f) = \{g \in I \mid f \upharpoonright \alpha + 1 = g \upharpoonright \alpha + 1\}.$$

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- ▶ Let  $f \in \text{Gen}(\nu)$ . If  $\sigma \in I$  is such that  $\nu \geq \sigma \geq f$ , then  $\sigma \in \text{Gen}(\nu)$ .

# Iterations

For all  $f \in I$  with depth  $\alpha$ , define  $o(f) = f_1(\alpha)$  the *complexity* of  $f$ .

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Suppose  $i$  is such that  $I^i$  is defined. Let

$$I^{i+1} = \{f \in I \mid o(f) \leq i + 1\}.$$

Suppose  $i$  is a limit ordinal such that for all  $j < i$ ,  $I^j$  is defined, let

$$I^i = \bigcup_{j < i} I^j.$$

## $\kappa$ -representation

Define  $\langle \mathcal{I}_\alpha^0 \mid \alpha < \kappa \rangle$  by

$$\mathcal{I}_\alpha^0 = \{ \nu \in \mathcal{I}^0 \mid \nu_1(n) < \alpha \text{ for all } n < \varepsilon \},$$

and  $\langle I_\alpha^0 \mid \alpha < \kappa \rangle$  in the canonical way.



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Suppose  $i < \kappa$  is such that  $\langle I_\alpha^i \mid \alpha < \kappa \rangle$  has been defined. For all  $\alpha < \kappa$  let

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Let us define the  $\kappa$ -representation  $\langle I_\alpha \mid \alpha < \kappa \rangle$  by

$$I_\alpha = I_\alpha^\alpha.$$

# Roads

## Definition

For all  $\nu \in I$  with  $dp(\nu) = \alpha$ , there is a maximal sequence  $\langle \nu_i \mid i \leq \alpha \rangle$  such that  $\nu_0 \in I^0$ ,  $\nu_\alpha = \nu$ , and for all  $i < j$ ,  $\nu_i \in \text{Gen}(\nu_j)$ .

We call this sequence *the road from  $I^0$  to  $\nu$* .

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## Fact

Let  $\langle \nu_j \mid j \leq \alpha \rangle$  be the road from  $I^0$  to  $\nu_\alpha$ . For all  $i < \alpha$

$$\nu_\alpha \models tp_{bs}(\nu_i, I^{o(\nu_{i+1})} \setminus (Gen(\nu_{i+1}) \cup \{\nu_i\}), I) \cup \{\nu_i > x\}$$

# The properties

## Theorem (M. 2023)

*Suppose  $\kappa$  is inaccessible, or  $\kappa = \lambda^+$ ,  $2^\theta \leq \lambda = \lambda^{<\varepsilon}$ . Then  $I$  is  $\varepsilon$ -dense,  $(< \kappa)$ -stable,  $(\kappa, \varepsilon)$ -nice, and  $\kappa$ -colorable.*

# Proof

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- ▶ Suppose  $\kappa = \lambda^+$  and  $2^\theta \leq \lambda = \lambda^{<\varepsilon}$ .  $I$  is  $(< \kappa)$ -stable.

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- ▶ Suppose  $\kappa = \lambda^+$  and  $2^\theta \leq \lambda = \lambda^{<\varepsilon}$ .  $I$  is  $(< \kappa)$ -stable.
- ▶  $I$  is a  $\kappa$ -colorable linear order.

# $\kappa^+$ , $(\gamma + 2)$ -tree\*

Let  $\gamma < \kappa$  be a regular cardinal. A  $\kappa^+$ ,  $(\gamma + 2)$ -tree\*  $t$  is a tree with the following properties:

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- ▶ All the branches of  $t$  have order type  $\gamma$  or  $\gamma + 1$ .
- ▶ Every chain of length less than  $\gamma$  has a unique limit.

# Isomorphism of $\kappa^+$ , $(\gamma + 2)$ -tree\*

## Lemma (Hyttinen - Kulikov - M.)

Suppose  $\gamma < \kappa$  is such that for all  $\epsilon < \kappa$ ,  $\epsilon^\gamma < \kappa$ . For every  $f, g \in 2^\kappa$  there are  $\kappa^+$ ,  $(\gamma + 2)$ -trees\*  $J_f$  and  $J_g$  such that

$$f \stackrel{2}{=}_{\gamma} g \Leftrightarrow J_f \cong_{ct} J_g$$

where  $\cong_{ct}$  is the isomorphism of  $\kappa^+$ ,  $(\gamma + 2)$ -tree\*.



# Ordered trees

## Definition

Let  $\gamma < \kappa$  be a regular cardinal and  $I$  a linear order.  $(A, \prec, <)$  is an ordered tree if the following holds:

- ▶  $(A, \prec)$  is a  $\kappa^+$ ,  $(\gamma + 2)$ -tree\*.

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- ▶  $(A, \prec)$  is a  $\kappa^+$ ,  $(\gamma + 2)$ -tree\*.
- ▶ for all  $x \in A$ ,  $(succ(x), <)$  is isomorphic to  $I$ .

# Isomorphism of ordered trees

## Theorem (M. 2023)

*Suppose  $\gamma < \kappa$  is such that for all  $\epsilon < \kappa$ ,  $\epsilon^\gamma < \kappa$ , and there is a  $\kappa$ -colorable linear order  $I$ .*

# Isomorphism of ordered trees

## Theorem (M. 2023)

Suppose  $\gamma < \kappa$  is such that for all  $\epsilon < \kappa$ ,  $\epsilon^\gamma < \kappa$ , and there is a  $\kappa$ -colorable linear order  $I$ . For all  $f \in 2^\kappa$  there is an ordered tree  $A_f$  such that for all  $f, g \in 2^\kappa$ ,

$$f \stackrel{2}{=}_{\gamma} g \Leftrightarrow A_f \cong A_g.$$

# $\kappa$ -colorable

## Definition

Let  $I$  be a linear order of size  $\kappa$ . We say that  $I$  is  $\kappa$ -colorable if there is a function  $F : I \rightarrow \kappa$  such that for all  $B \subseteq I$ ,  $|B| < \kappa$ ,  $b \in I \setminus B$ , and  $p = tp_{bs}(b, B, I)$  such that the following hold: For all  $\alpha \in \kappa$ ,

$$|\{a \in I \mid a \models p \ \& \ F(a) = \alpha\}| = \kappa.$$

# The models

Suppose  $\kappa$  is inaccessible, or  $\kappa = \lambda^+$ ,  $2^c \leq \lambda = \lambda^{<\omega_1}$ . Let  $\gamma < \kappa$  be such that for all  $\epsilon < \kappa$ ,  $\epsilon^\gamma < \kappa$ .

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### Lemma

*Suppose  $T$  is superstable with DOP in a countable relational vocabulary  $\tau$ . Let  $\tau^1$  be a Skolemization of  $\tau$ , and  $T^1$  be a complete theory in  $\tau^1$  extending  $T$  and with Skolem-functions in  $\tau$ . Then for every  $f \in 2^\kappa$  there is  $\mathcal{M}_1^f \models T^1$  with the following properties.*

# The models

## Lemma

1. *There is a map  $\mathcal{H} : A_f \rightarrow (\text{dom } \mathcal{M}_1^f)^n$  for some  $n < \omega$ ,  $\eta \mapsto a_\eta$ , such that  $\mathcal{M}_1^f$  is the Skolem hull of  $\{a_\eta \mid \eta \in A_f\}$ . Let us denote  $\{a_\eta \mid \eta \in A_f\}$  by  $Sk(\mathcal{M}_1^f)$ .*



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## Lemma

1. *There is a map  $\mathcal{H} : A_f \rightarrow (\text{dom } \mathcal{M}_1^f)^n$  for some  $n < \omega$ ,  $\eta \mapsto a_\eta$ , such that  $\mathcal{M}_1^f$  is the Skolem hull of  $\{a_\eta \mid \eta \in A_f\}$ . Let us denote  $\{a_\eta \mid \eta \in A_f\}$  by  $Sk(\mathcal{M}_1^f)$ .*
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# The models

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# Coding trees

For every  $f \in 2^\kappa$  let us define the order  $K^D(f)$  by:

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- IV. If  $\eta, \xi \in A_f$ , then  $\eta < \xi$  if and only if  $(\eta, 1) <_{K^D(f)} (\xi, 0)$ .

# EM-models

## Lemma (Shelah, Hyttinen-Tuuri)

*Suppose  $T$  is a countable superstable theory with the DOP in a countable vocabulary  $\tau$ . Then there exists a vocabulary  $\tau^1 \supseteq \tau$ ,  $|\tau^1| = \omega_1$ , such that for every linear order  $I$  we can find a  $\tau^1$ -model  $\mathcal{N}$  which is an Ehrenfeucht-Mostowski model of  $T$  for  $I$ , where the order is definable by an  $L_{\omega_1\omega_1}$ -formula.*



# Homogeneity

Let  $v \leq \kappa$  be a regular cardinal, a tree  $A$  is  $v$ -homogeneous with respect to quantifier free formulas if the following holds:

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# The isomorphism theorem

## Theorem (M. 2023)

Suppose  $T$  is a non-classifiable first order theory in a countable relational vocabulary  $\tau$ .

1. If  $T$  is unstable or superstable with OTOP,  $\omega \leq \gamma < \kappa$  is such that for all  $\alpha < \kappa$ ,  $\alpha^\gamma < \kappa$ , then for all  $f, g \in 2^\kappa$

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2. If  $T$  is superstable with DOP,  $\kappa$  is inaccessible or  $\kappa = \lambda^+$  and  $2^c \leq \lambda$ , and  $\omega_1 \leq \gamma < \kappa$  is such that for all  $\alpha < \kappa$ ,  $\alpha^\gamma < \kappa$ , then for all  $f, g \in 2^\kappa$ ,

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# Proof

There is a  $\kappa$ -representation  $\mathbb{A} = \{(A_f)_\alpha\}_{\alpha < \kappa}$  and  
 $B^f(\eta, \alpha) = Succ_{a_F}(\eta) \cap (A_f)_\alpha$ .

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There is a  $\kappa$ -representation  $\mathbb{A} = \{(A_f)_\alpha\}_{\alpha < \kappa}$  and  $B^f(\eta, \alpha) = Succ_{a_f}(\eta) \cap (A_f)_\alpha$ . Such that there is a club  $C^f$  for all  $\delta \in C^f$  with  $cf(\delta) \geq \varepsilon$ ,  $\eta \in A_f$ ,  $A_f \not\equiv P_\gamma(\eta)$ , and  $\nu \in Suc_{A_f}(\eta)$ ,

$$\forall \sigma \in B^f(\eta, \delta) [\sigma > \nu \Rightarrow \exists \sigma' \in B^f(\eta, \beta) (\sigma \geq \sigma' \geq \nu)].$$



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Suppose  $f, g \in 2^\kappa$  are such that  $f \not\equiv_\gamma^2 g$ , and  $\mathcal{M}^f$  are  $\mathcal{M}^g$  isomorphic. Let  $F : \mathcal{M}^f \rightarrow \mathcal{M}^g$  be an isomorphism between  $\mathcal{M}^f$  and  $\mathcal{M}^g$ .

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$$F(a_\eta) = (\mu_\eta^0(\bar{b}_{\bar{v}_\eta}), \dots, \mu_\eta^m(\bar{b}_{\bar{v}_\eta})) = \bar{\mu}_\eta(\bar{b}_{\bar{v}_\eta}),$$

# Proof

Let  $\bar{v}_\eta = (v_\eta^i)_{i < lg(\bar{v}_\eta)}$ . Let

- ▶  $C_1 = \{\delta \in C_0 \mid \forall \eta \in A_f (\eta \in (A_f)_\delta \text{ implies } \bar{v}_\eta \subseteq (A_g)_\delta)\};$

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- ▶  $C = \{\delta \in C_2 \mid \delta \in C_2 \ \& \ \delta \text{ is a limit point of } C_2\}.$

# Proof

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3. For all  $\alpha < \delta$ , there is  $m < \gamma$  such that  $\eta \upharpoonright m \notin (A_f)_\alpha$ .

# Proof

For each  $n < lg(\bar{v}_\eta)$  there is  $\alpha_n \in C_2 \cap \delta$  such that one of the following holds

- I.  $v_\eta^n \in (A_g)_{\alpha_n}$ .

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- II. There is  $m_n < lg(v_\eta^n)$  such that for  $w^0 = v_\eta^n \upharpoonright m_n$  and  $w^1 = v_\eta^n \upharpoonright (m_n + 1)$  the following hold
  - ▶  $w^0 \in (A_g)_{\alpha_n}$  and  $w^1 \notin (A_g)_\delta$ .

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For each  $n < \text{lg}(\bar{v}_\eta)$  there is  $\alpha_n \in C_2 \cap \delta$  such that one of the following holds

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  - ▶  $w^0 \in (A_g)_{\alpha_n}$  and  $w^1 \notin (A_g)_\delta$ .
  - ▶  $\forall \sigma \in B^g(w^0, \delta) [\sigma > w^1 \Rightarrow \exists \sigma' \in B^g(w^0, \alpha_n) (\sigma \geq \sigma' \geq w^1)]$ .

# Proof

$$tp_L(\bar{b}_{\bar{v}_{\zeta_1}} \widehat{v}_\eta, \emptyset, \mathcal{M}) = tp_L(\bar{b}_{\bar{v}_{\zeta_2}} \widehat{v}_\eta, \emptyset, \mathcal{M})$$

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Since  $\bar{\mu}_{\zeta_1} = \bar{\mu}_{\zeta_2}$ ,

$$\mathcal{M}_1^g \models \varphi(\bar{\mu}_\eta(\bar{b}_{\bar{v}_\eta}), \bar{\mu}_{\zeta_1}(\bar{b}_{\bar{v}_{\zeta_1}})) \Leftrightarrow \varphi(\bar{\mu}_\eta(\bar{b}_{\bar{v}_\eta}), \bar{\mu}_{\zeta_2}(\bar{b}_{\bar{v}_{\zeta_2}}))$$

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so

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On the other hand, since  $\zeta_1 \prec \eta$  and  $\zeta_2 \not\prec \eta$ ,

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Since  $\bar{\mu}_{\zeta_1} = \bar{\mu}_{\zeta_2}$ ,

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On the other hand, since  $\zeta_1 \prec \eta$  and  $\zeta_2 \not\prec \eta$ ,

$$\mathcal{M}^f \models \varphi(\bar{a}_\eta, \bar{a}_{\zeta_1}) \wedge \neg \varphi(\bar{a}_\eta, \bar{a}_{\zeta_2}),$$

a contradiction, since  $\mathcal{M}^f = \mathcal{M}_1^f \upharpoonright \tau$  and  $\varphi \in L(\tau)$ .

# Stable unsuperstable theories

## Fact (M. 2023)

*If  $T$  is a countable complete stable unsuperstable theory over a countable vocabulary, then for all  $f, g \in 2^\kappa$ ,  $f \equiv_\omega^2 g$  if and only if  $EM(A_f, \Phi)$  and  $EM(A_g, \Phi)$  are isomorphic.*

$$\cong_T \hookrightarrow_C =^2_{\mu}, \kappa = \lambda^+$$

Theory	$\lambda = \lambda^\gamma$	$\diamond_\lambda$	$DI_{S^\kappa}^*(\Pi_1^1)$
Classifiable	$\omega \leq \mu \leq \gamma$	$\mu = \lambda$	$\mu = \gamma$
Non-classifiable	Indep	Indep	$\mu = \gamma$

$$=^2_{\mu} \hookrightarrow C \cong_T, \kappa = \lambda^+$$

Theory	$\lambda = \lambda^\gamma$	$2^c \leq \lambda = \lambda^\gamma$	$2^c \leq \lambda = \lambda^{<\lambda}$ & $\diamond_\lambda$
Stable Unsuper- stable	$\mu = \omega$	$\mu = \omega$	$\mu = \omega$
Unstable	$\omega \leq \mu \leq \gamma$	$\omega \leq \mu \leq \gamma$	$\omega \leq \mu \leq \lambda$
Superstable with OTOP	$\omega \leq \mu \leq \gamma$	$\omega \leq \mu \leq \gamma$	$\omega \leq \mu \leq \lambda$
Superstable with DOP	?	$\omega_1 \leq \mu \leq \gamma$	$\omega_1 \leq \mu \leq \lambda$

# A bigger Gap

## Theorem (M. 2023)

*Suppose  $\kappa$  is inaccessible, or  $\kappa = \lambda^+ = 2^\lambda$  and  $2^c \leq \lambda = \lambda^{\omega_1}$ .*

*There exists a cofinality-preserving forcing extension in which the following holds:*

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*If  $T_1$  is classifiable and  $T_2$  is not. Then there is a regular cardinal  $\gamma < \kappa$  such that, if  $X, Y \subseteq S_\gamma^\kappa$  are stationary and disjoint, then  $=_X^2$  and  $=_Y^2$  are strictly in between  $\cong_{T_1}$  and  $\cong_{T_2}$ .*

# Main Gap Dichotomy

## Theorem (M. 2023)

*Let  $\kappa$  be inaccessible, or  $\kappa = \lambda^+ = 2^\lambda$  and  $2^c \leq \lambda = \lambda^{<\omega_1}$ . There exists a  $< \kappa$ -closed  $\kappa^+$ -cc forcing extension in which for any countable first-order theory in a countable vocabulary (not necessarily complete),  $T$ , one of the following holds:*



# Main Gap Dichotomy

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Let  $\kappa$  be inaccessible, or  $\kappa = \lambda^+ = 2^\lambda$  and  $2^c \leq \lambda = \lambda^{<\omega_1}$ . There exists a  $\kappa$ -closed  $\kappa^+$ -cc forcing extension in which for any countable first-order theory in a countable vocabulary (not necessarily complete),  $T$ , one of the following holds:

- ▶  $\cong_T$  is  $\Delta_1^1(\kappa)$ ;
- ▶  $\cong_T$  is  $\Sigma_1^1(\kappa)$ -complete.

# Non-classifiable theories

## Lemma (M. 2023)

Let  $\kappa$  be strongly inaccessible, or  $\kappa = \lambda^+ = 2^\lambda$  and  $2^c \leq \lambda = \lambda^{<\omega_1}$ .  
 For all cardinals  $\aleph_0 < \mu < \delta < \kappa$ , if  $T$  is a non-classifiable theory  
 then

$$\cong_T^\mu \hookrightarrow_C \cong_T^\delta \hookrightarrow_C id \hookrightarrow_C \cong_T.$$

# Classifiable non-shallow

## Lemma (M. 2023)

Suppose  $\kappa = \lambda^+ = 2^\lambda$ . The following reduction is strict. Let  $2^c \leq \lambda = \lambda^{<\omega_1}$ . If  $T_1$  is a classifiable non-shallow theory and  $T_2$  is a non-classifiable theory, then

$$\cong_{T_2}^\lambda \hookrightarrow_C \cong_{T_1} \hookrightarrow_C \cong_{T_2}.$$

## Classifiable shallow

### Lemma (M. 2023)

Suppose  $\kappa = \lambda^+ = 2^\lambda$ . The following reductions are strict.

Let  $\kappa = \aleph_\gamma$  be such that  $\beth_{\omega_1}(|\gamma|) \leq \kappa$ . Suppose  $T_1$  is a classifiable shallow theory,  $T_2$  a classifiable non-shallow theory, and  $T_3$  non-classifiable theory. Then

$$\cong_{T_1} \hookrightarrow_B \cong_{T_3}^{\lambda} \hookrightarrow_C \cong_{T_2}.$$

