

# Indestructibility and characterization of filter reflection

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## Generalised Baire space

Suppose  $\kappa$  is an uncountable cardinal such that  $\kappa^{<\kappa} = \kappa$ .

The generalised Baire space is the space  $\kappa^\kappa$  endowed with the bounded topology, for every  $\eta \in \kappa^{<\kappa}$  the following set

$$N_\eta = \{\xi \in \kappa^\kappa \mid \eta \subseteq \xi\}$$

is a basic open set.

The generalised Cantor space is the subspace  $2^\kappa$ .

## Reductions

For  $i < 2$ , let  $X_i$  be some space from the collection  $\{\theta^\kappa \mid \theta \in [2, \kappa]\}$ . Let  $R_0$  and  $R_1$  be binary relations over  $X_0$  and  $X_1$ , respectively.

### Definition

A function  $f : X_0 \rightarrow X_1$  is said to be a *reduction of  $R_0$  to  $R_1$*  iff, for all  $\eta, \xi \in X_0$ ,

$$\eta R_0 \xi \text{ iff } f(\eta) R_1 f(\xi).$$

The existence of a function  $f$  satisfying this is denoted by  $R_0 \hookrightarrow R_1$ . If  $f$  is continuous we denote it by  $R_0 \hookrightarrow_c R_1$ .

## Stationary reflection

Let  $\alpha$  be an ordinal of uncountable cofinality. A set  $C \subseteq \alpha$  is a club if it is closed and unbounded. A set  $S \subseteq \alpha$  is stationary if for all club  $C \subseteq \alpha$ ,  $C \cap S \neq \emptyset$ .

### Definition

Let  $\alpha \in \kappa$  be an ordinal of uncountable cofinality, and a stationary  $S \subseteq \kappa$ , we say that  $S$  reflects at  $\alpha$  if  $S \cap \alpha$  is stationary in  $\alpha$

If  $\kappa$  is a weakly compact cardinal, every stationary subset of  $\kappa$  reflects at a regular cardinal  $\alpha < \kappa$ .

## Equivalence modulo nonstationary

### Definition

For every stationary set  $S \subseteq \kappa$  and  $\theta \in [2, \kappa]$ , the equivalence relation  $=_S^\theta$  over the subspace  $\theta^\kappa$  is defined via

$$\eta =_S^\theta \xi \text{ iff } \{\alpha \in S \mid \eta(\alpha) \neq \xi(\alpha)\} \text{ is non-stationary.}$$

### Definition

The quasi-order  $\leq^S$  over  $\kappa^\kappa$  is defined via

$$\eta \leq^S \xi \text{ iff } \{\alpha \in S \mid \eta(\alpha) > \xi(\alpha)\} \text{ is non-stationary.}$$

The quasi-order  $\subseteq^S$  over  $2^\kappa$  is nothing but  $\leq^S \cap (2^\kappa \times 2^\kappa)$ .

# The Roll

Let us denote by  $=_{\lambda}^{\theta}$  the relation  $=_S^{\theta}$  when  $S = \{\alpha < \kappa \mid cf(\alpha) = \lambda\}$ .

## Fact (Hyttinen-M)

*The isomorphism relation of any classifiable theory is continuous reducible to  $=_{\lambda}^{\kappa}$  for all  $\lambda$ .*

Under some cardinal arithmetic assumptions the following can be proved:

## Fact (Friedman-Hyttinen-Kulikov)

*Suppose  $T$  is a non-classifiable theory. There is a regular cardinal  $\lambda < \kappa$  such that  $=_{\lambda}^2$  is continuous reducible the isomorphism relation of  $T$ .*

# Question

Is  $=_{\lambda}^{\kappa}$  Borel-reducible to  $=_{\lambda}^2$ , i.e.  $=_{\lambda}^{\kappa} \leq_c =_{\lambda}^2$ , for all  $\lambda$ ?



# Comparing $=_{\mathcal{S}}^{\kappa}$ and $=_{\mathcal{S}}^2$

## Fact (Asperó-Hyttinen-Kulikov-M)

If every stationary subset of  $X$  reflects at stationary many  $\alpha \in Y$ , then  $=_X^{\kappa} \hookrightarrow_c =_Y^{\kappa}$ .

## Fact (Friedman-Hyttinen-Kulikov)

Suppose  $V = L$ , and  $X \subseteq \kappa$  and  $Y \subseteq \text{reg}(\kappa)$  are stationary. If every stationary subset of  $X$  reflects at stationary many  $\alpha \in Y$ , then  $=_X^2 \hookrightarrow_c =_Y^2$ .

## Limitations

- ▶ For all regular cardinals  $\gamma \leq \lambda < \kappa$ , any  $X \subseteq S_\lambda^\kappa$ ,  $X$  does not reflect at any  $\alpha \in S_\gamma^\kappa$ .
- ▶ If  $\kappa = \lambda^+$  and  $\square_\lambda$  holds, then for all  $X \subseteq \kappa$  there is a stationary  $Y \subseteq X$  such that  $Y$  does not reflect at any  $\alpha < \kappa$ .
- ▶ Usual stationary reflection requires large cardinals.

## Capturing clubs

Suppose  $S$  is stationary subset of  $\kappa$ , and  $\vec{\mathcal{F}} = \langle \mathcal{F}_\alpha \mid \alpha \in S \rangle$  is a sequence such that, for each  $\alpha \in S$ ,  $\mathcal{F}_\alpha$  is a filter over  $\alpha$ .

### Definition

We say that  $\vec{\mathcal{F}}$  *captures clubs* iff, for every club  $C \subseteq \kappa$ , the set  $\{\alpha \in S \mid C \cap \alpha \notin \mathcal{F}_\alpha\}$  is non-stationary;

For any ordinal  $\alpha < \kappa$  of uncountable cofinality, denote by  $CUB(\alpha)$  the club filter of subsets of  $\alpha$ . The sequence  $\vec{\mathcal{F}} = \langle \mathcal{F}_\alpha \mid \alpha \in S_{\omega_1}^\kappa \rangle$  define by  $\mathcal{F}_\alpha = CUB(\alpha)$ , capture clubs.

## Filter reflection

Suppose  $X$  and  $S$  are stationary subsets of  $\kappa$ , and  $\vec{\mathcal{F}} = \langle \mathcal{F}_\alpha \mid \alpha \in S \rangle$  is a sequence such that, for each  $\alpha \in S$ ,  $\mathcal{F}_\alpha$  is a filter over  $\alpha$ .

### Definition

We say that  $X$   $\vec{\mathcal{F}}$ -reflects to  $S$  iff  $\vec{\mathcal{F}}$  captures clubs and, for every stationary  $Y \subseteq X$ , the set  $\{\alpha \in S \mid Y \cap \alpha \in \mathcal{F}_\alpha^+\}$  is stationary

### Definition

We say that  $X$   $\mathfrak{f}$ -reflects to  $S$  iff there exists a sequence of filters  $\vec{\mathcal{F}}$  over a stationary subset  $S'$  of  $S$  such that  $X$   $\vec{\mathcal{F}}$ -reflects to  $S'$ .

## Strong forms of filter reflection

### Definition

We say that  $X$  strongly  $\vec{\mathcal{F}}$ -reflects to  $S$  iff  $\vec{\mathcal{F}}$  captures clubs and, for every stationary  $Y \subseteq X$ , the set  $\{\alpha \in S \mid Y \cap \alpha \in \mathcal{F}_\alpha\}$  is stationary.

### Definition

We say that  $X$   $\vec{\mathcal{F}}$ -reflects with  $\diamond$  to  $S$  iff  $\vec{\mathcal{F}}$  captures clubs and there exists a sequence  $\langle Y_\alpha \mid \alpha \in S \rangle$  such that, for every stationary  $Y \subseteq X$ , the set  $\{\alpha \in S \mid Y_\alpha = Y \cap \alpha \ \& \ Y \cap \alpha \in \mathcal{F}_\alpha^+\}$  is stationary.

We apply the same convention for  $X$  strongly  $\mathfrak{f}$ -reflects to  $S$  and  $X$   $\mathfrak{f}$ -reflects with  $\diamond$  to  $S$

# Properties

## Fact (Monotonicity)

*For stationary sets  $Y \subseteq X \subseteq \kappa$  and  $S \subseteq T \subseteq \kappa$ . If  $X$   $\mathfrak{f}$ -reflects to  $S$ , then  $Y$   $\mathfrak{f}$ -reflects to  $T$ ;*

## Fact

*Suppose  $X$  strongly  $\mathfrak{f}$ -reflects to  $S$ . If  $\diamond_X$  holds, then so does  $\diamond_S$ .*

## Fact

*Suppose  $V = L$ , then for all stationary sets  $X, S \subseteq \kappa$ ,  $X$   $\mathfrak{f}$ -reflects to  $S$ .*

## Over the limits

- ▶ Usual stationary reflection is a special case of filter reflection.
- ▶ For all regular cardinals  $\gamma \leq \lambda < \kappa$ , any  $X \subseteq S_\lambda^\kappa$ ,  $X$  does not reflect at any  $\alpha \in S_\gamma^\kappa$ .  $S_\lambda^\kappa$   $\mathfrak{f}$ -reflects to  $S_\gamma^\kappa$  is consistently true.
- ▶ If  $\kappa = \lambda^+$  and  $\square_\lambda$  holds, then for all  $X \subseteq \kappa$  there is a stationary  $Y \subseteq X$  such that  $Y$  does not reflect at any  $\alpha < \kappa$ . Filter reflection is consistent with  $\square_\lambda$ .
- ▶ Filter reflection does not require large cardinals.

# Stationary Reflection

## Theorem

*If  $\kappa$  is strongly inaccessible, then in the forcing extension by  $\text{Add}(\kappa, \kappa^+)$ , for all two disjoint stationary subsets  $X, S$  of  $\kappa$ , the following are equivalent:*

- 1.  $X$   $\mathfrak{f}$ -reflects to  $S$ ;*
- 2. every stationary subset of  $X$  reflects in  $S$ .*



# Killing Filter Reflection

## Theorem

*There exists a cofinality-preserving forcing extension in which, for all stationary subsets  $X, S$  of  $\kappa$ ,  $X$  does not  $\mathfrak{f}$ -reflect to  $S$*

Force a coherent regressive  $C$ -sequence, then force with  $Add(\kappa, \kappa^+)$ .

# Forcing Filter Reflection

## Theorem

*For all stationary subsets  $X$  and  $S$  of  $\kappa$ , there exists a  $<\kappa$ -closed  $\kappa^+$ -cc forcing extension, in which  $X$   $\mathfrak{f}$ -reflects to  $S$ .*

Force with Sakai's forcing

## Preserving clubs capturing

### Definition

Let  $\vec{\mathcal{F}} = \langle \mathcal{F}_\alpha \mid \alpha \in S \rangle$  be a sequence of filters that capture clubs (in  $V$ ), and  $\mathbb{P}$  a forcing notion. We say that  $\mathbb{P}$  *preserves clubs capturing in  $\vec{\mathcal{F}}$*  if for any  $\mathbb{P}$ -generic filter  $G$ ,  $V[G] \models$  “ $\vec{\mathcal{H}}$  captures clubs”, where  $\vec{\mathcal{H}} = \langle \mathcal{H}_\alpha \mid \alpha \in S \rangle$  and  $\mathcal{H}_\alpha$  is the filter generated by  $\check{\mathcal{F}}_\alpha$  in  $V[G]$ .

### Lemma

Let  $\vec{\mathcal{F}} = \langle \mathcal{F}_\alpha \mid \alpha \in S \rangle$  be a sequence of filters that capture clubs. If  $\mathbb{P}$  satisfies the  $\kappa$ -cc, then  $\mathbb{P}$  preserves clubs capturing in  $\vec{\mathcal{F}}$ .

# $Add(\omega, \kappa)$

## Theorem

*Suppose  $X$   $\mathfrak{f}$ -reflects to  $S$  holds. Then  $Add(\omega, \kappa)$  forces that  $X$   $\mathfrak{f}$ -reflects to  $S$ .*

# Preserving Filter Reflection

## Definition

A notion of forcing  $\mathbb{Q}$  satisfies  $\kappa$ -stationary-cc if for every sequence  $\langle q_\delta \mid \delta < \kappa \rangle$  of conditions in  $\mathbb{Q}$  and stationary  $T \subseteq \kappa$ , there is a club  $D \subseteq \kappa$  and a regressive map  $h : D \cap T \rightarrow \kappa$  such that for all  $\gamma, \delta \in \text{dom}(h)$ , if  $h(\gamma) = h(\delta)$  then  $q_\gamma$  and  $q_\delta$  are comparable.

## Definition

We say that a sequence  $\vec{\mathcal{F}} = \langle \mathcal{F}_\alpha \mid \alpha \in S \rangle$  is  $\theta$ -complete if the set  $\{\alpha \in S \mid \mathcal{F}_\alpha \text{ is not } \theta\text{-complete}\}$  is non-stationary.

# Preserving Filter Reflection

## Theorem

Suppose  $\vec{\mathcal{F}} = \langle \mathcal{F}_\alpha \mid \alpha \in S \rangle$  is a  $\theta$ -complete sequence. Suppose  $\mathbb{P}$  is a forcing notion with  $\theta$ -cc and  $\kappa$ -stationary-cc, and  $X \subseteq \kappa$  is a stationary set such that  $X$   $\vec{\mathcal{F}}$ -reflects to  $S$ . Then  $\mathbb{P}$  forces that  $X$   $\vec{\mathcal{H}}$ -reflects to  $S$ .

# Equivalence Modulo a Filter

## Definition

Let  $\mathcal{F}$  be a filter over  $\alpha$ . For every  $\theta \in [2, \kappa]$ , the equivalence modulo  $\mathcal{F}$ ,  $\sim_{\mathcal{F}}^{\theta}$ , over  $\theta^{\alpha}$ , is defined via

$$(\eta, \xi) \in \sim_{\mathcal{F}}^{\theta} \text{ iff } \{\beta < \alpha \mid \eta(\beta) = \xi(\beta)\} \in \mathcal{F}$$

## Definition

For every  $\theta, \gamma \in [2, \kappa]$ ,  $F : \theta^{\kappa} \rightarrow \gamma^{\kappa}$ , and  $\vec{\mathcal{F}} = \langle \mathcal{F}_{\alpha} \mid \alpha \in S \rangle$  a sequence of filters. We say that  $F$  captures  $\vec{\mathcal{F}}$  if for all  $\alpha \in S$  and  $\eta, \xi \in \theta^{\kappa}$

$$\eta \upharpoonright \alpha \sim_{\mathcal{F}_{\alpha}}^{\theta} \xi \upharpoonright \alpha \text{ iff } F(\eta)(\alpha) = F(\xi)(\alpha).$$

# Characterization of Filter Reflection

## Theorem

Let  $X, S \subseteq \kappa$  be stationary sets. The following are equivalent:

1.  $X$   $\mathfrak{f}$ -reflects to  $S$ .
2. There is  $\vec{\mathcal{F}} = \langle \mathcal{F}_\alpha \mid \alpha \in S \rangle$  and  $F : 2^\kappa \rightarrow \kappa^\kappa$  a reduction from  $=_X^2$  to  $=_S^\kappa$  that captures  $\vec{\mathcal{F}}$ .



# Characterization Stationary Reflection

## Corollary

Let  $X, S \subseteq \kappa$  be stationary sets such that for  $\alpha \in S$ ,  $\text{cof}(\alpha) \neq \omega$ , and  $\vec{\mathcal{F}} = \langle \mathcal{F}_\alpha \mid \alpha \in S \rangle$  be the sequence of club filters. Then  $X$  stationary reflects to  $S$  if and only if there is a reduction from  $=_X^2$  to  $=_S^\kappa$  that captures  $\vec{\mathcal{F}}$ .

# Characterization of Strong Filter Reflection

## Theorem

Let  $X, S \subseteq \kappa$  be stationary sets. The following are equivalent.

1.  $X$  strongly  $\mathfrak{f}$ -reflects to  $S$ .
2. There is a reduction  $F : 2^\kappa \rightarrow 2^\kappa$  from  $=_X^2$  to  $=_S^2$  such that for all  $\alpha \in S$  the set

$$\{\eta \upharpoonright_\alpha^{-1} [\gamma] \mid \eta \in 2^\kappa \ \& \ F(\eta)(\alpha) = \gamma\}$$

is a filter.

# Reductions from Filter Reflection

## Lemma

If  $X$  strongly  $\mathfrak{f}$ -reflects to  $S$ , then for all  $\theta \in [2, \kappa]$ ,  $=_X^\theta \leftrightarrow_c =_S^\theta$ .

## Theorem

Let  $X, S \subseteq \kappa$  be stationary sets. If  $X$   $\mathfrak{f}$ -reflects with  $\diamond$  to  $S$ , then

$$\leq^X \leftrightarrow_c \leq^S.$$

# The Main Gap

## Theorem

*Let  $\kappa = \lambda^+$ . If  $\text{cof}(\omega)$   $\mathfrak{f}$ -reflects with  $\diamond$  to  $\text{cof}(\omega)$  and  $\text{cof}(\lambda)$   $\mathfrak{f}$ -reflects with  $\diamond$  to  $\text{cof}(\lambda)$ , then the isomorphism relation of any classifiable theory is continuous reducible to the isomorphism relation of any non-classifiable theory.*

# The Main Gap

## Theorem

*Let  $\kappa = \lambda^+$  and  $X \subseteq \kappa$  a stationary set such that  $X \cap \text{cof}(\lambda) = \emptyset$ . If  $X$  strongly  $\mathfrak{f}$ -reflects to  $\text{cof}(\lambda)$ , then the isomorphism relation of any classifiable theory is continuous reducible to the isomorphism relation of any non-classifiable theory.*

# Martin Maximum

## Theorem

Suppose Martin's Maximum holds,  $\kappa > \omega_2$ ,  $X \subseteq \text{cof}(\omega)$  a stationary set and  $S \subseteq \text{cof}(\omega_1)$ . If  $\diamond_X$  holds, then  $X$  reflects with  $\diamond$  to  $S$ .

MM implies that for  $\kappa = \lambda^+$ ,  $\lambda$  a singular strong limit of uncountable cofinality, it holds

$$=_{\omega}^{\kappa} \leftrightarrow_c =_{\omega_1}^2.$$

Thank you