

Borel Reducibility and the Isomorphism Relation

Miguel Moreno
(Joint work with Tapani Hyttinen and Vadim Kulikov)

Department of Mathematics and Statistics
University of Helsinki

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Outline

- 1 Classifying First-order Theories
- 2 Shelah's Main Gap Theorem

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1 Classifying First-order Theories

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The spectrum problem

Let $I(T, \alpha)$ denote the number of non-isomorphic models of T with cardinality α .

What is the behavior of $I(T, \alpha)$?

- **Löwenheim-Skolem Theorem:**
 $\exists \alpha \geq \omega \ I(T, \alpha) \neq 0 \Rightarrow \forall \beta \geq \omega \ I(T, \beta) \neq 0.$
- **Morley's categoricity:** $\exists \alpha > \omega \ I(T, \alpha) = 1 \Rightarrow \forall \beta > \omega \ I(T, \beta) = 1$
- **Shelah's Main Gap Theorem:** Either, for every uncountable cardinal α , $I(T, \alpha) = 2^\alpha$, or $\forall \alpha > 0 \ I(T, \aleph_\alpha) < \beth_{\omega_1}(|\alpha|).$

Approaches

- Shelah's stability theory.
Classify the models of T by cardinal invariants and clearly differentiate clearly between the theories that can be classified and those that cannot.
- Descriptive set theory:
It uses Borel-reducibility and the isomorphism relation to define a partial order on the set of all first-order complete countable theories.

The topology

κ is a cardinal that satisfies $\kappa^{<\kappa} = \kappa$.

We equip the set 2^κ with the bounded topology. For every $\zeta \in 2^{<\kappa}$, the set

$$[\zeta] = \{\eta \in 2^\kappa \mid \zeta \subset \eta\}$$

is a basic open set.

Reductions

A function $f: 2^\kappa \rightarrow 2^\kappa$ is *Borel*, if for every open set $A \subseteq 2^\kappa$ the inverse image $f^{-1}[A]$ is a Borel subset of 2^κ .

Let E_1 and E_2 be equivalence relations on 2^κ . We say that E_1 is *Borel reducible* to E_2 , if there is a Borel function $f: 2^\kappa \rightarrow 2^\kappa$ that satisfies $(x, y) \in E_1 \Leftrightarrow (f(x), f(y)) \in E_2$.

We write $E_1 \leq_B E_2$.

Coding structures

Fix a language $\mathcal{L} = \{P_n \mid n < \omega\}$

Definition

Let π be a bijection between $\kappa^{<\omega}$ and κ . For every $\eta \in 2^\kappa$ define the structure \mathcal{A}_η with domain κ and for every tuple (a_1, a_2, \dots, a_n) in κ^n

$$(a_1, a_2, \dots, a_n) \in P_m^{\mathcal{A}_\eta} \Leftrightarrow \eta(\pi(m, a_1, a_2, \dots, a_n)) = 1$$

Definition (The isomorphism relation)

Given T a first-order complete countable theory in a countable vocabulary, we say that $\eta, \xi \in 2^\kappa$ are \cong_T^κ equivalent if

- $\mathcal{A}_\eta \models T, \mathcal{A}_\xi \models T, \mathcal{A}_\eta \cong \mathcal{A}_\xi$
or
- $\mathcal{A}_\eta \not\models T, \mathcal{A}_\xi \not\models T$

Borel-reducibility hierarchy

We can define a partial order on the set of all first-order complete countable theory

$$T \leq^{\kappa} T' \text{ iff } \cong_T^{\kappa} \leq_B \cong_{T'}^{\kappa}$$

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Countable

$$T = Th(\mathbb{Q}, \leq).$$

T' , the theory of vector space over the field of rational numbers.

By the Borel-reducibility hierarchy:

$$T \leq^{\omega} T'$$

$$T' \not\leq^{\omega} T$$

By the stability theory T' is simpler than T .

Shelah's Main Gap Theorem

Theorem (Shelah)

If T is classifiable and T' is not, then T is less complex than T' and their complexity are not close.

Uncountable

Under some cardinality assumptions on κ have been proved the following.

Theorem (Friedman, Hyttinen, Kulikov)

If T is unstable and T' is classifiable, then $T \not\leq^{\kappa} T'$.

Theorem

If T is stable unsuperstable and T' is classifiable, then

$$T' \leq^{\kappa} T$$

$$T \not\leq^{\kappa} T'$$

Consistency

The Diamond principle implies a Borel-reducibility counterpart of Shelah's Main Gap Theorem for some uncountable successor cardinals.

Theorem

Let $H(\kappa)$ be the following property: If T is classifiable and T' is not, then $T \leq^{\kappa} T'$ and $T' \not\leq^{\kappa} T$.

The following statements hold:

- 1 *If $V = L$, then $H(\kappa)$ holds.*
- 2 *There is a κ -closed forcing notion \mathbb{P} with the κ^+ -c.c. which forces $H(\kappa)$.*






Borel-reducibility Counterpart

Theorem

The following statement is consistent:

If T_1 is classifiable and T_2 is not classifiable, then $T_1 \leq^\kappa T_2$ and there are 2^κ equivalence relations strictly between $\cong_{T_1}^\kappa$ and $\cong_{T_2}^\kappa$.

References

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